



## Your Contact

### Michael Taschner

Director

PwC Legal FS, Regulatory and Compliance Services

+41 58 792 23 25

michael.taschner@

ch.pwc.com

### Dr. Antonios Koumbarakis

Senior Manager

PwC Legal FS, Regulatory and Compliance Services

+41 58 792 45 23

antonios.koumbarakis@

ch.pwc.com

# PwC Legal's Regulatory Radar

Since the global financial crisis, regulatory reform has topped the agenda for financial institutions (e.g. Basel III, MiFID II, GDPR). Regulations have changed and will continue to change the financial industry landscape dramatically to rebuild the credibility of financial markets.

There is an inherent risk for financial institutions of missing out on critical topics (e.g. Sustainability Regulation, EBA Outsourcing Standards or Securitisation Regulation (STS)), or of taking required actions too late.

Moreover, financial institutions must satisfy the regulatory consolidation requirements to varying degrees because of their structure and cross-border business activities.

## Our solution

- With our Legal Regulatory Radar you will not lose sight of any regulatory initiatives
- At the same time you will receive a tailor-made solution that analyses regulatory initiatives, draws your attention to potential actions and satisfies the regulatory consolidation requirements
- Possibility to assign tasks and responsibilities



### Early warning system

Regular and frequent regulatory updates (incl. forward-looking tool, regulatory repository per country)



### Digital access

Easy Web-based tool in time of digitalisation



### Increase business success

Increasing business success through early identification and regulatory analysis



### Forward-looking tool

Yearly regulatory outlook brochure



### Ad-hoc support

Ad-hoc support for relevant regulatory topics



### Consolidated supervision

Consolidated supervision of regulatory initiatives



### Clear prioritisation

Clear prioritisation of regulatory updates



### Regulatory updates

Structured summary of updates per regulation and easy to extend country by country and regulation by regulation



### Impact analysis

Quarterly high-level impact assessment for selected regulations



### Tasks and responsibilities

Assigning tasks and responsibilities