# Tailor-made Regulatory Monitoring and High-Level Impact Assessment Service

In the aftermath of the 2007-2009 financial crisis, several regulatory measures have been identified and are being addressed by dedicated projects (e.g. Basel III, MiFID II, PRIIPs, FINFRAG, AnaCredit, MAD/MAR). In this context, there is an inherent risk of missing out on critical topics or taking required action too late.

# **Contacts**

### Dr Günther Dobrauz

Leader PwC Legal Services and Leader PwC Legal FS Regulatory & Compliance Services, Switzerland guenther.dobrauz@ch.pw.com + 41 58 792 14 97

#### Michael Taschner

Senior Manager Legal FS Regulatory & Compliance Services, Switzerland michael.taschner@ch.pwc.com + 41 58 792 10 87

# Dr Antonios Koumbarakis

Manager Legal FS Regulatory & Compliance Services, Switzerland antonios.koumbarakis@ch.pwc.com + 41 58 792 45 23

PwC Birchstrasse 160 P.O. Box CH-8050 Zurich

# Our solution

PwC provides a systematic and encompassing three cluster approach for regulatory monitoring service and high-level impact assessment to monitor and evaluate efficiently and effectively regulatory updates. Our regulatory monitoring and impact assessment is reflecting your current business model and takes location, entity types, core functions, client types and the product offering into account.

# Our proposal at one glance

### Regulatory updates

- Structured delivery of regulatory updates in xlsx format
- Structured summary of updates per regulation
- Regulatory updates easy to extend country by country and regulation by regulation



# High-level impact assessment

- Quarterly high-level impact assessment for selected regulations
- Yearly regulatory impact assessment report in pdf

# Regulatory hotline or deep dive

 Regulatory hotline for selected regulations or deep dive

## Core Deliverables

- · Structured delivery of regulatory updates
- Structured summary of updates per regulation (final format to be defined in the project set-up)
- Regulatory updates easy to extend country by country and regulation by regulation
- Quarterly high-level impact assessment for selected regulations
- Yearly regulatory impact assessment report in pdf
- Regulatory hotline for selected regulations or deep dive

