Regulatory Banking Service

Your direct access to regulatory expertise

2026

Introduction

In the dynamic landscape of Swiss financial regulations, navigating complex regulatory requirements is a demanding task for banks and financial institutions. Are you seeking fast, accessible support on regulatory banking queries? Do you need a reliable second opinion or a market view from an expert? Our Regulatory Banking Service[1] offers a comprehensive solution to such challenges, providing expert guidance easily and efficiently.

How does our service work?

Our service is straightforward and user-friendly. Once enrolled, you can simply reach out to us with your regulatory requests at any time, for example via email or a quick phone call. Our team of risk and compliance experts will promptly respond, ensuring you get the timely support you need across various regulatory matters.

Do you sometimes ask yourself where you can get quick and easy support on complex regulatory questions and issues?

Would it be helpful to have easy access to an expert to get a second opinion?

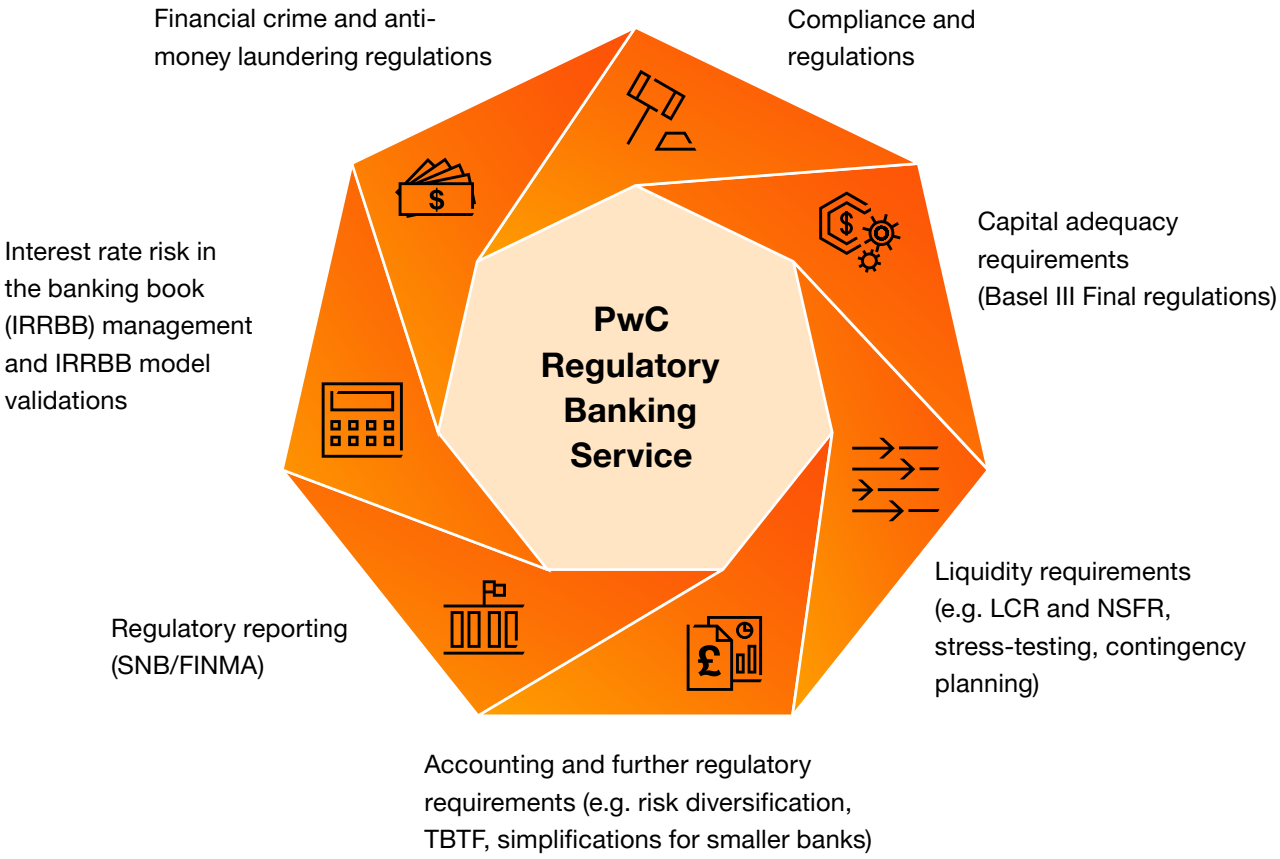
We're here for you!

[1] The Regulatory Banking Service is only available to clients who are not PwC audit clients.

Scope of our service

Our Regulatory Banking Service is specifically designed to address Swiss regulatory requirements of FINMA-regulated entities such as banks, securities firms, and financial intermediaries. Our comprehensive regulatory support suite includes the following areas of expertise:

Selection of topics



Why our Regulatory Banking Service is the right choice for you

You gain direct access to a network of specialists with first-hand experience of the Swiss regulatory framework and established market practices, drawn from our daily audit and advisory practice within the Swiss financial sector.

Additionally, you benefit from insights into the latest market and regulatory developments, derived from our expert team's continuous and close dialogue with the industry and the regulatory authorities.

Moreover, by reaching out to us first, you reduce your regulatory non-compliance risk.

Approach

How it works

1. Initial inquiry
You initiate the inquiry process by sending your request to us, e.g. by email. These could range from specific regulatory questions to requests for broader compliance and risk guidance.

2. Prompt initial response
Our team confirms the receipt of the request, reviews it and promptly provides an initial assessment of the estimated time and effort required to respond fully or follows up to request additional information. If your request is straightforward, we could even provide a direct response.

3. Get feedback
Our experts provide a response in the format best suited to your inquiry – whether by email, memo or call or workshop – tailored to the nature and complexity of the request, ensuring that we satisfy your needs in the most effective form.

Examples

- FINMA reporting: How do I accurately complete FINMA reporting forms for capital adequacy and large exposures? Are there specific nuances or common pitfalls I should be aware of?
- Anti-money laundering regulations: Can you provide guidance on the application of new anti-money laundering regulations, particularly regarding the identification of beneficial owners from trusted sources and the requirements for regularly updating customer documentation?
- Comprehensive regulatory support: We look to enter the Swiss repo market. Could you provide a holistic guidance on the required accounting treatment and the regulatory considerations concerning this business plan?
- Operational risk and resilience: What are the key duties for a bank under the new FINMA circular on operational risk and resilience? How can we ensure our practices are fully compliant? How do I manage cyber risks?
- Assessment of approaches: We need an assessment of our current regulatory compliance approaches. Are they effective and in line with the latest regulations? What improvements can be made on top? Can you perform an IRRBB validation?
- Resolution planning: Can you review the newly required secured depositor list that we implemented? Do our preparatory measures for ensuring an orderly payout of the protected and privileged deposits meet the industry standards and regulatory expectations?

If you're interested in our Regulatory Banking Service, please register here and we'll contact you.

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